BROKERS INTERNATIONAL
FINANCIAL SERVICES, LLC
"BUILDING OPPORTUNITY"

102 SE 13th Street, P.O. Box 634
Panora, IA 50216 877-886-1939

American Trust & Savings Bank Appointment Application

Fax completed form to 641-755-3623 or email to swessling@brokersifs.com

If you have questions regarding this appointment process please call 877-886-1939

Full Name: _____ Date of Birth _____

Social Security Number: _____ E-mail Address: _____

Agency or DBA Name _____ Web site Address: _____

Business Address: _____

Business Telephone: _____ Business Fax: _____ Cell Phone: _____

Residence Address: _____

Are you currently securities registered: ☐ Yes ☐ No If yes what licenses do you hold? _____

Who is your current Broker Dealer?: _____ Are your business needs being met? ☐ Yes ☐ No

Would you like information about Brokers International Financial Services, LLC BD and RIA? ☐ Yes ☐ No

Please check all the insurance contracts below that you have through Brokers International Ltd.

☐ Aviva ☐ American Equity ☐ Allianz ☐ ING ☐ Great American ☐ Indy Life

Have you attended CEP training since May 2008? ☐ Yes ☐ No If Yes what was the date: _____

The questions below must be completed by the Agent: (Please attach a detailed letter of explanation for any questions that is marked "YES")

1. Have you ever been convicted or pled guilty to a crime other than a non-felony traffic violation? Yes ☐ No ☐
2. Have you filed bankruptcy, been insolvent or made a compromise with creditors within the last 10 years? Yes ☐ No ☐
3. Are you currently indebted to any insurance company? Yes ☐ No ☐
4. Do you have any lawsuits, judgments, Federal or State Tax Liens outstanding against you? Yes ☐ No ☐
5. Have you ever been suspended, disqualified, or disciplined by any state, federal or self regulatory body? Yes ☐ No ☐
6. Have you ever had an appointment canceled by an insurance company for any reason other than low production? Yes ☐ No ☐

I, _____ hereby authorize Brokers International Financial Services, LLC to make and independent investigation of my background, references, character, past employment, education, criminal or police records, including those mandated by both public and private organizations and all public records for the purpose of confirming the information contained on my application and/or obtaining other information which may be material to my qualifications for appointment. I release Brokers International Financial Services, LLC and/or it's agents and any person or entity, which provides information pursuant to this authorization, from any and all liabilities, claims or lawsuits in regard to the information obtained from any and all of the above referenced sources used. I affirm that all the information provided on the foregoing application is true, accurate and complete to the best of my knowledge. Should any of the information change, I will promptly notify Brokers International Financial Services, LLC in writing.

Print Agent Name: _____ Agent Signature: _____

Marketing Organization Name: _____

This Section for Home Office Use Only

_____ In Process _____ CEP _____ Contracts _____ Production _____ AT Training

_____ Approved _____ List _____ Materials

_____ Denied Reason _____