BROKERS INTERNATIONAL
FINANCIAL SERVICES, LLC
"BUILDING OPPORTUNITY"
Member FINRA/SIPC

102 SE 13th Street, P.O. Box 634
Panora, IA 50216 877-886-1939

Pre-hire Paperwork Checklist

Submitting all of your required paperwork in one package facilitates the approval process for registration with Brokers International Financial Services, LLC. Every individual, without exception, must complete and submit every form/item on this checklist. Missing items create paperwork deficiencies, delay review of your paperwork, and may cause you to incur additional mailing or faxing expenses (check off each form as you complete it):

_____Registered Representative Data Sheet
_____Independent Contractor Authorization
_____Securities Industry Background Consent
_____Business Profile
_____Background Disclosure
_____Outside Business Activity Disclosure Form
_____Copy of your most recent year-end commission statement
Or
_____Copy of your 1099s or W-2s from the last two years

Brokers International Financial Services, LLC strives to provide our representatives the highest quality of service at the lowest cost possible. You should plan on paying your portion of these costs at the time of registration. Costs you can expect to pay are as follows and are subject to revision: Registration fee, Email retention fee, Errors and Omissions Insurance Premium, State Registration, Affiliation, and Branch fees, Applicable FINRA fees, and a one-time Application Processing fee.

If you have any questions concerning the current cost of these fees, please contact the registration department at 877-886-1939.

Send completed forms to:
Brokers International Financial Services, LLC
102 SE 13th Street
PO Box 634
Panora, IA 50216
641-755-3623- fax

Please contact us at (877) 886-1939 if you have questions.

Make a photocopy of each form and keep in your personal registration/licensing file for audit purposes.