BROKERS INTERNATIONAL
FINANCIAL SERVICES, LLC
"BUILDING OPPORTUNITY"
Member FINRA/SIPC

102 SE 13th Street, P.O. Box 634
Panora, IA 50216 877-886-1939

Business Profile
Registered Representative

Name: _____
Preferred Mailing Address:
_____
_____
Email Address: _____
Phone Number: _____

Brokers International Financial Services, LLC does not currently sponsor new registrants. In an effort to determine if Brokers International Financial Services, LLC will be able to be of benefit to you in your securities business, we ask that you complete the following questionnaire.

1. Why are you terminating from your current Broker-dealer?

2. Aside from your current BD, what financial services background/experience do you have?

3. Why would you like to affiliate with Brokers International Financial Services, LLC?

4. What was your Securities GDC and payout for the past two years?
(Please attach two previous years' broker/dealer 1099's or commission statements)

$_____Last Year $_____Previous Year _____%Payout

5. What is the mix of mutual fund to variable products you intend to offer your clients?

_____mutual funds _____variable annuity _____variable life
_____stocks _____bonds _____ REITS _____UIT's

6. Of the following products not currently supported by Brokers International Financial Services, LLC, what is the mix of products listed below that you have offered to clients in the past?

_____%limited partnerships _____%other registered products

7. What other products, registered or not, do you offer your clients?

_____%index annuities _____ %LTC _____%index life _____%other life products

8. Anticipated Securities GDC (Broker/Dealer) _____ current year _____ next year.

9. What resources will you need to help you achieve your goals?

10. Manner in which you attract clients?

_____ individual referral _____seminars _____email blasts

_____professional referral _____ mailings _____ cold call

11. Are there other registered representatives or investment advisor representatives at your office location? _____Yes _____No